



540.1020C3

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Re: Application of: Anand R. Baichwal  
Serial No.: 10/731,859  
Filed: December 9, 2003  
For: **SUSTAINED RELEASE MATRIX FOR HIGH-DOSE  
INSOLUBLE DRUGS**

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

February 2, 2004

Sir:

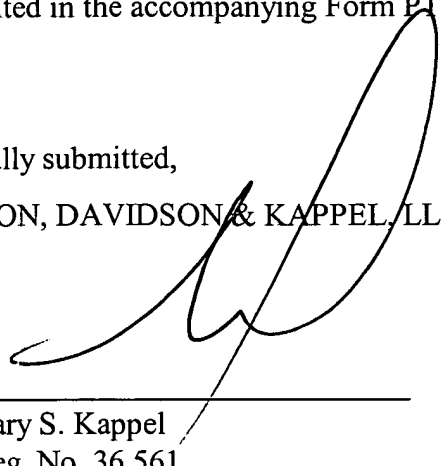
In accordance with the provisions of 37 C.F.R. § 1.97, Applicant hereby makes of record the documents listed on the accompanying Form PTO-1449 (1 page) for consideration by the Examiner in connection with the examination of the above-identified patent application.

Pursuant to the waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(1) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003, copies of the cited references are not enclosed.

This Information Disclosure Statement is filed under 37 C.F.R. § 1.97(b)(1), within three (3) months of the filing date of a national phase application. Accordingly, it is believed that no fee is due for this submission. If it is determined that a fee is due for this submission, the Commissioner for Patents is hereby authorized to charge said fee to Deposit Account No. 50-0552.

It is respectfully requested that the references cited in the accompanying Form PTO-1449 be considered and made of record.

Respectfully submitted,  
DAVIDSON, DAVIDSON & KAPPEL, LLC

By:   
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Re.: Docket No.: 540.1020c3  
Applicant(s): BAICHWAL  
Serial No.: 10/731,859  
Invention: SUSTAINED RELEASE MATRIX FOR HIGH-DOSE INSOLUBLE DRUGS  
Filing Date: December 9, 2003

- Information Disclosure Statement (2 pages) and Form PTO-1449 (1 page);
- Return Receipt Postcard

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT AND TRADEMARK OFFICE

ATTY. DOCKET No.  
540.1020CON3

SERIAL NO.: 10/731,859

## LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT:  
Anand R. BaichwalFILING DATE:  
December 9, 2003

GROUP: To be Assigned

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER								DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
JA	5	6	6	2	9	3	3	9/2/97	Baichwal, et al.	424	457		
JB	5	9	5	8	4	5	6	9/28/99	Baichwal, et al.	424	452		
JC	6	0	5	6	9	7	7	5/2/00	Bhagwat, et al.	424	488		
JD	6	5	3	7	5	7	8	3/25/03	Bhagwat, et al.	424	488		
JE	5	4	5	5	0	4	6	10/3/95	Baichwal	424	457		
JF	5	5	1	2	2	9	7	4/30/96	Baichwal	424	451		
JG	5	5	5	4	3	8	7	9/10/96	Baichwal	424	488		
JH	5	6	6	7	8	0	1	9/16/97	Baichwal	424	457		
JI	5	8	4	6	5	6	3	12/8/98	Baichwal	424	457		
JJ	6	1	3	6	3	4	3	10/24/00	Baichwal	424	468		
JK	5	7	7	3	0	2	5	6/30/98	Baichwal	424	458		
JL	6	0	4	8	5	4	8	4/11/00	Baichwal	424	468		
JM	6	2	4	5	3	5	6	6/12/01	Baichwal	424	468		
JN	20	03	02	19	4	8	1	11/27/03	Bhagwat, et al.	424	468		
JO	20	01	00	46	5	1	6	11/29/01	Baichwal	424	468		

OTHER REFERENCES CITED (Including Author, Title, Date,  
Pertinent Pages, Etc.)

JP	
JQ	
JR	
JS	
JT	
JU	
JV	
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EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.